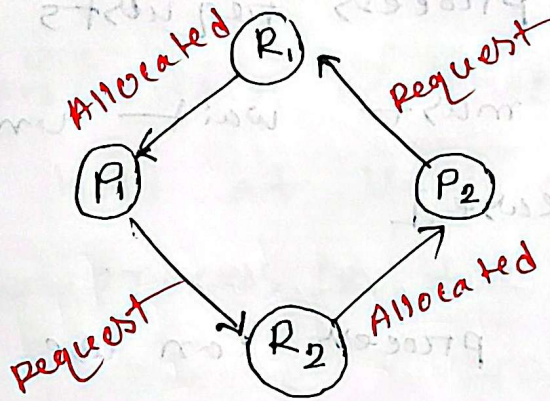


Dead lock

Dead lock occurs when a process or thread enters a waiting state because a requested system resource is held by another waiting process which in turn is waiting for another resource held by another waiting process.



Condition for occurring Dead lock

(i) Mutual exclusion

(ii) Hold and wait

(iii) No preemption

(iv) Circular wait

Necessary condition

These four condition must ~~be~~ all hold simultaneously for a deadlock to occur.

① Mutual Exclusion:

✶ Only one process can use a resource at a time.

If another process requests the same resource, it must wait until the resource is released.

Example: Only one process can use a printer at a time.

② Hold & wait:

A process is holding at least one resource and waiting to acquire additional resource held by other processes.

Example: process P_1 is holding a printer and waiting for a scanner.

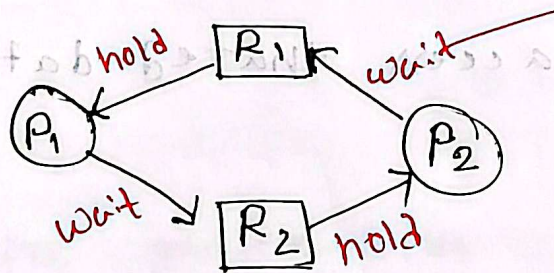
No preemption:

- (iii) Resources cannot be forcibly taken away from a process, they must be released voluntarily by the process holding them.

Ex: The OS cannot take a printer from a process until it releases it.

(iv) Circular wait:

A circular chain of process exists, where each process hold at least one resource that the next process in the chain needs.



here, $P_1 \rightarrow$ hold R_1 , wait $\rightarrow R_2$

$P_2 \rightarrow$ hold R_2 , wait for R_1

$\rightarrow$ There exists a set of waiting $(P_0, P_1, \dots, P_n)$ processes such that P_0 is waiting for a resource that is held by P_1 , P_1 is

waiting for a resource that is held by $P_2, \dots, P_{n-1}$ is waiting for a resource that is held by P_n and P_n is waiting for a resource that is held by P_0 .

Deadlock with mutex & locks locks.
→ mutual exclusion

A mutex lock is a synchronization mechanism used to protect shared resources from being accessed by multiple threads or processes at the same time.

→ It ensures that only one thread can enter the critical section (the part of code that access shared data) at any given moment.

→ A mutex lock is like a key:-

① When one thread takes the key (lock) others must wait.

② After finishing, it returns the key (unlock) so another thread can enter.

main purpose: To prevent ~~one~~ race conditions and ensure data consistency in concurrent (multithread) program.

ex: If two thread want to update the same file.

Thread 1 locks the file $\rightarrow$ work $\rightarrow$ unlocks

Thread 2 ~~locks the file~~
wait until thread one unlocks.

Thus, the file remain consistent and safe.

code:

Initialize mutex-locks

Process P_i :

while (True) do

Acquire (mutex-lock) // enter critical section

critical section // access shared resource

release (mutex-lock) // exit critical section

end while Remainder section // perform other operation

Book Role:

initialize the mutex;

pthread_t first-mutex;

pthread_t second-mutex;

pthread_init (&first-mutex);

pthread_init (&second-mutex);

→ Thread-One run in this ~~set~~ function

void *do-one (void *param)

pthread_mutex_lock (&first-mutex);

pthread_mutex_lock (&second-mutex);

// ... Do some work

pthread_mutex_unlock (&second-mutex);

pthread_mutex_unlock (&first-mutex);

pthread_exit (0);

→ Thread two run in this function.

void *do-two (void *param)

pthread_mutex_lock (&second-mutex);

pthread_mutex_lock (&first-mutex);

// ... Do some work

pthread_mutex_unlock (&first-mutex);

pthread_mutex_unlock (&second-mutex);

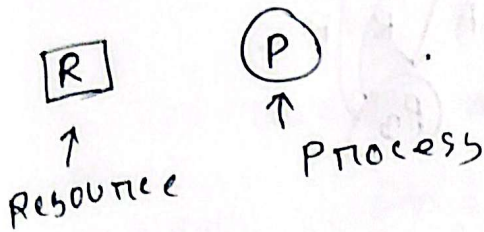
pthread_exit (0);

Resource Allocation Graph

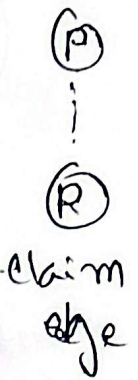
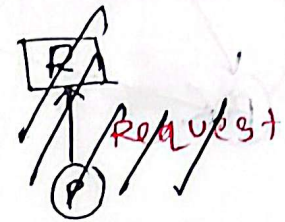
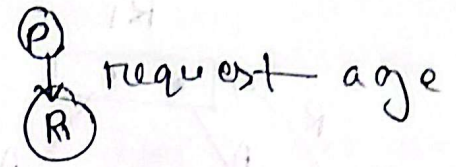
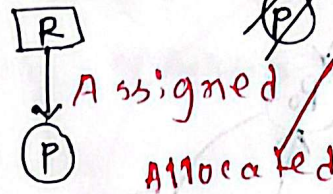
Deadlock avoidance

Graph

(i) Vertex

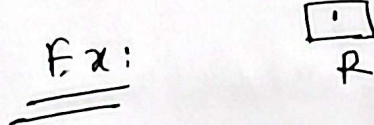


(ii) Edge



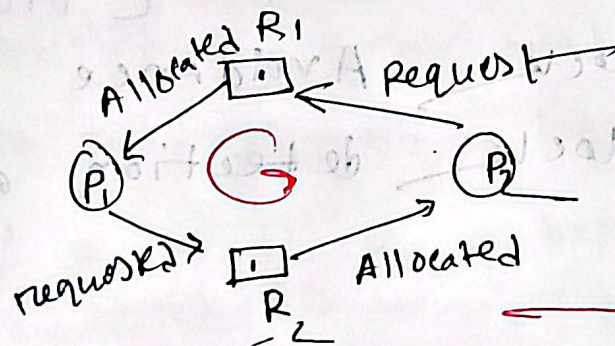
Resource:

(i) single Instance: - which may only one Instance.



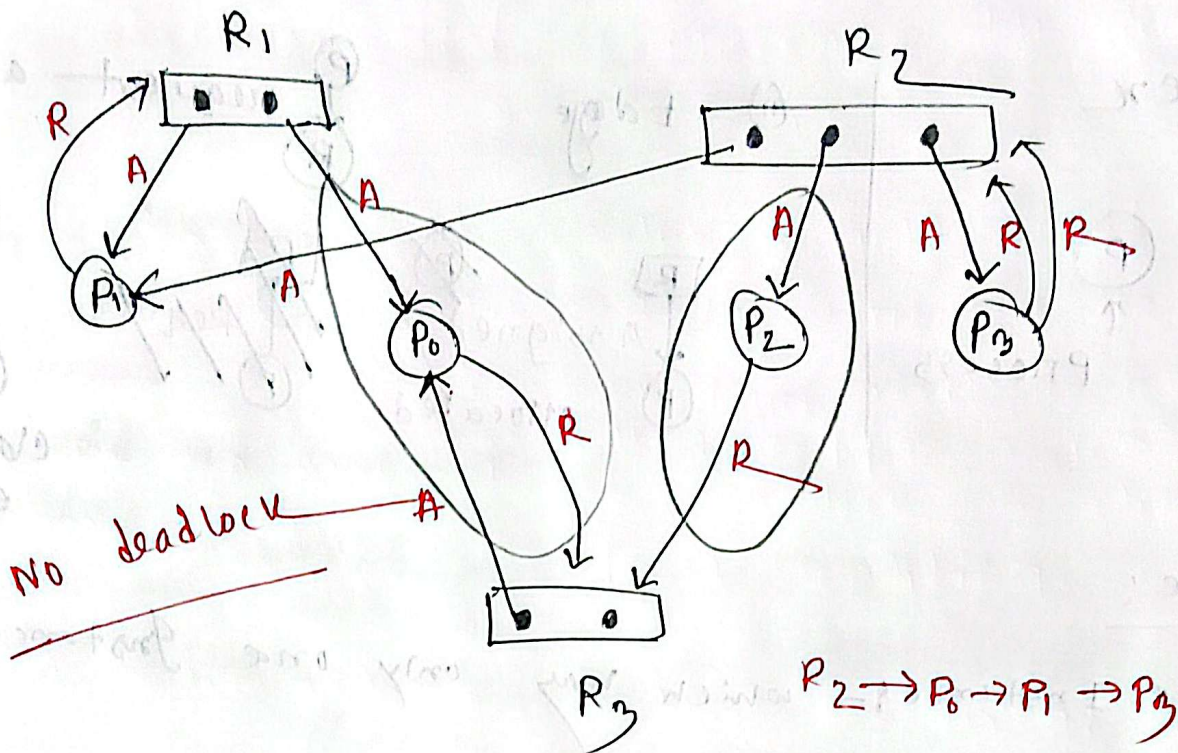
(ii) multi-Instance: which may multiple Instance.

(i) single Instance:



Deadlock

① Multi-Instance :



Deadlock Handling method

methods

- ① Deadlock Ignorance
- ② Deadlock ~~preemption~~ prevention
- ③ Deadlock Avoidance
- ④ Deadlock detection and recovery

① Dead lock Ignorance:

- The system simply ignore the problem and pretend that deadlocks never occur in the system → assuming deadlocks are rare.
- used most OS including ~~and~~ Unix, Unix and windows.
- This approach is called the Ostrich Algorithm, because it resembles the ostrich burying its head in the sand and pretending the problem doesn't exist.
- If a deadlock does occur, the OS may take drastic measures such as rebooting to recover.

Advantage: No overhead or extra cost

Disadvantage: system may hang or freeze if a deadlock happens.

② Dead lock ~~preemption~~: prevention:

→ Need to remove all condition or at least one ^{necessary} condition to prevent the deadlock

Coffman condition { mutual exclusion, hold & wait,
no preemption, circular wait.

→ Deadlocks have four necessary condition and they are called Coffman condition.
So we can prevent a deadlock by eliminating any of the above four condition.

① Eliminating mutual Exclusion:

meaning: Only one process can use a resource at a time. Where resources are non-shareable mode.

Prevention: make sure resources are shareable whenever possible.

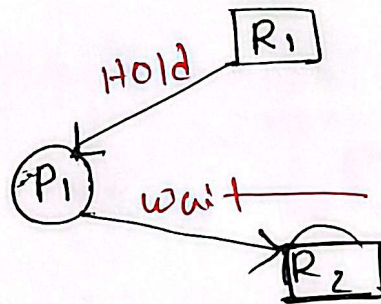
example: Read-Only files can be shared by multiple processes at the same time.

So, for non-shareable resources, prevention through this method is not possible.

② Eliminate Hold & wait:

meaning: A process is holding some resources and waiting for others.

prevention:



There are two way to eliminate hold and wait.

① By eliminating wait: The process declares all required resources before execution begins so it avoids waiting during execution.

ex: ~~pro~~ process P1 declares in advance that it requires both P1 and P2.
↓
resources

② By eliminating Hold: The process has to release all resources ~~at~~ it is currently holding before making a new request.

$$2 \times 200 + 200 = 600 \text{ nsec.}$$

safe state: If the system can successfully allocate to each process by giving resource ~~then~~ it will be called safe state.

system resource
(R₁) → 12

Process	required resource	Allocated resource	Resource Needed
P ₁	6	2	4
P ₂	11	5	6
P ₃	5	3	2
		10	

$$\text{Available Resource} = \text{Total instance/resource} - \text{Allocated resource}$$

P₃ 9A. needed
~~resource~~
 so, available resource 2
 process P₃

$$= 12 - 10 = 2$$

P ₃	5	①
P ₁	7	→ ④
P ₂	12	→ ①

P₃ → P₁ → P₂

available.

No deadlock occur here. That's why it's called unsafe state.

Unsafe state: If the system cannot avoid deadlock by allocating resource to each process then it will be called as unsafe state.

resource = 12

Process	Require resource	Allocated resource	Resource Needed
P ₁	9	2	7
P ₂	11	5	6
P ₃	5	3	2
		10	

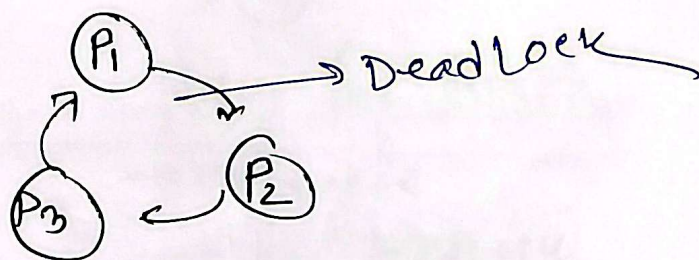
total 12

available resource = 12 - 10 = 2

P₃ → P₂

P₂ need 6 resource but resource available = 5

that's why deadlock occurs

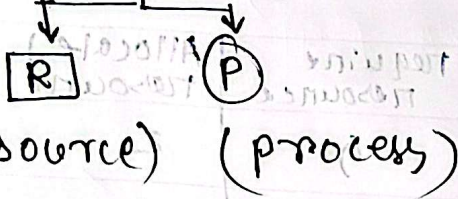


Resource Allocation Graph

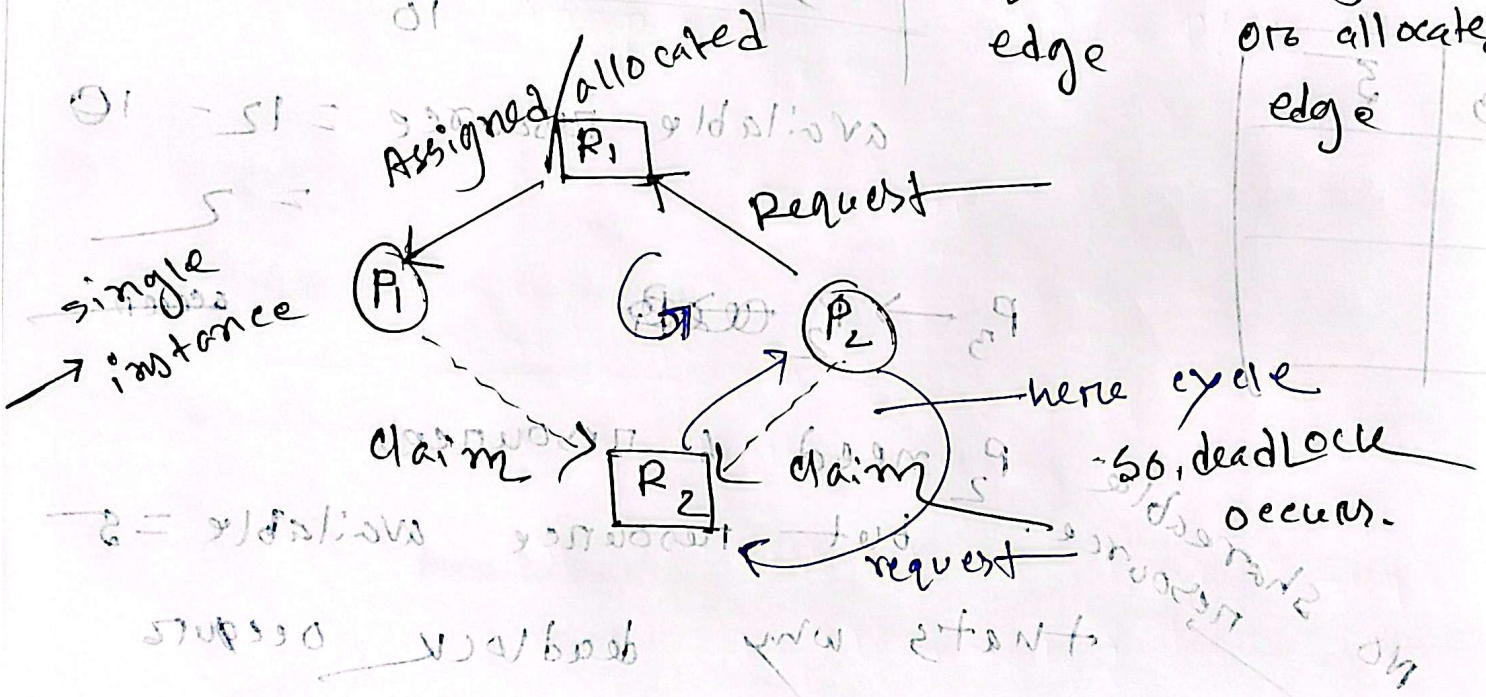
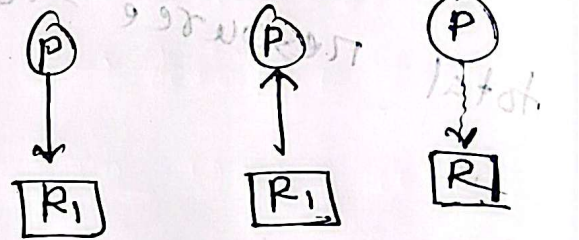
Deadlock Avoidance

Graph:

(i) Vertex



(ii) Edge



Bankers Algorithm (multi-instance)

Deadlock detection & Recovery

Deadlock detection

cycle

wait for Graph

(single instance)

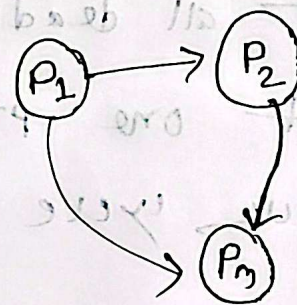
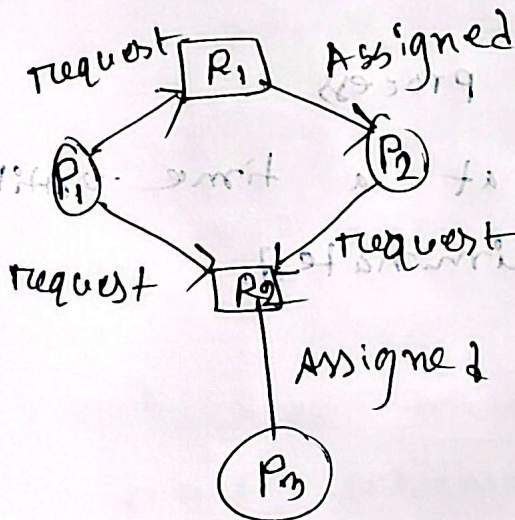
Banker's Algorithm

(multiple instance)

working always
safe state.

Example of wait for Graph:

①



wait for Graph

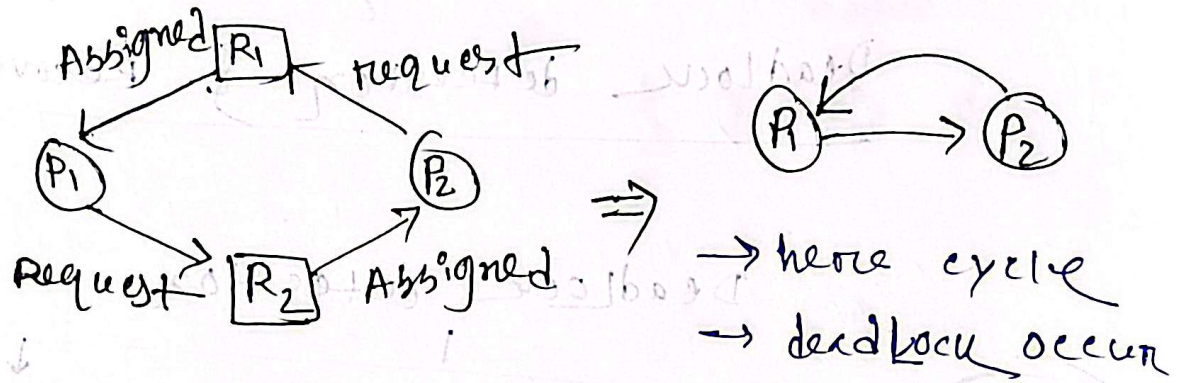
→ no cycle

→ no deadlock

(Resource Allocation Graph)

(11)

wait for Graph



Resource allocation Graph

here cycle
deadlock occur
we can't deadlock recovery algorithm

Deadlock recovery

Process Termination:

- (i) Abort all deadlock process
- (ii) Abort one process at a time until the deadlock cycle is eliminated

Resource Preemption

- (i) selecting a victim: — which resources & process are to be preempted.

As in process termination, we must determine the order of preemption to minimize the cost.

⑪ Rollback: Rollback the process which was selecting as a victim

→ roll back + let process to some safe state

→ about the process and the nestart it.

(iii) starvation: It may happen that the same process is always picked as a victim, As a result the process never completes its task.
we must ensure that a process can be picked as a victim only a (small) finite number of times

It is worth noting that the program is not
a simple matter of adding a few more
to the list of countries. It is a matter of
adding a new dimension to the program.
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a new dimension to the program.

Ban Ker's Algorithm

$$R_1 = 10, R_2 = 5, R_3 = 7$$

Process	Max Need			Allocated resource			current resource need			available resource		
	R_1	R_2	R_3	R_1	R_2	R_3	R_1	R_2	R_3	R_1	R_2	R_3
P0	7	5	3	0	1	0	7 2	4	3	3	3	2
P1	3	2	2	2	0	0	1	2	2	5	3 2	2
P2	0	0	2	3	0	2	6	0	0	2	4	3
P3	2	2	2	2	1	1	0	1	1	7	4	5
P4	4	3	3	0	0	2	4	3	1	10	4	7
free instance										10	5	7

$$\text{current resource need} = \text{max need} - \text{allocated resource}$$

$$\begin{aligned} \text{Now, } 10 - 7 &= 3 \quad (R_1 - \sum R_1) \\ 5 - 2 &= 3 \quad (R_2 - \sum R_2) \\ 7 - 5 &= 2 \quad (R_3 - \sum R_3) \end{aligned}$$

safe scan $\rightarrow$ P1, P3, P4, P2, P0

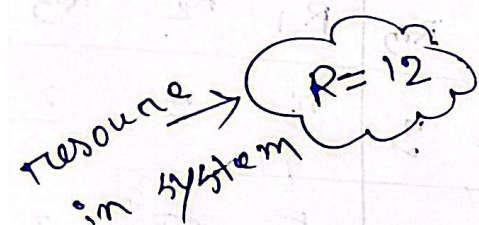
$$= 3 + 2 \quad (\text{available} + \text{allocated})$$

$$= 5$$

$$\begin{aligned} 2 + 0 &= 2 \\ 2 + 0 &= 2 \end{aligned}$$

safe process

Safe state: If the system successfully allocate to each process by giving resource than it will be called as safe state.



Process	Required resource	Allocated resource	Resource needed
P ₁	6	2	4
P ₂	11	5	6
P ₃	5	3	2

10

Available resource
= Total instance - total allocated resource
= 12 - 10 = 2

10 or resources, system (P₁, P₂, P₃) process (or) allocate the thing

Safe state:

[If process resource requirement is less than or equal to process (or) available resource then

P₃ → P₁ → P₂

(P₃ → done execution)

P ₃	5	1
P ₁	7	1
P ₂	12	

now, avail available resource

Unsafe state: If the system cannot avoid the deadlock by allocating resource to each process then it will be called as unsafe state.

$$R=12$$

Process	Required resource	Allocated resource	Resource need
P ₀	9	2	7
P ₂	11	5	6
P₃ P ₃	5	3	2
		10	

$$\text{available resource} = 12 - 10 = 2$$

1st part allocation in 1st row: 2 resource P₃ return

P ₃	5
P ₂	

P₃ → deadlock.

available resource is 5.
then P₂ to 5 resource return (full execution of P₂ is not possible) but any 5 resource is not given.
Deadlock of (P₂), which is unsafe.

(i)

The need matrix is calculated as

$$\text{Current Need} = \text{max} - \text{Allocation}$$

Need matrix:

Process	A	B	C
P ₀	7	4	3
P ₁	1	2	2
P ₂	6	0	0
P ₃	0	1	0
P ₄	4	3	1

(ii) unsafe: There is no guarantee that all possible processes can finish execution in some order with the currently available and allocated resources.

Given, $A = 10$, $B = 5$, $C = 7$

Process	Max need			Allocation resource			Current need			Available resource		
	A	B	C	A	B	C	A	B	C	A	B	C
P ₀	7	5	3	0	1	0	7	4	3	3	3	2
P ₁	3	2	2	2	0	0	1	2	2	5	3	2
P ₂	0	0	2	3	0	2	6	0	0	7	4	3
P ₃	2	2	2	2	1	1	0	1	1	7	4	5
P ₄	4	3	3	0	0	2	4	3	1	10	4	7
				7	2	5				10	5	7

find available resource:

$\Rightarrow$ Given instance - total allocation instance

$$A - \sum A = (10 - 7) = 3$$

similarly $B - \sum B = (5 - 2) = 3$

and $C - \sum C = 7 - 5 = 2$

safe sequence

$P_1 \rightarrow P_3 \rightarrow P_4 \rightarrow P_2 \rightarrow P_0$

$\therefore$ system is in safe state.

→ Available at start = $(A, B, C) = (3, 3, 2)$

① P_0 need $(7, 4, 3) > (3, 3, 2) \rightarrow$ cannot run now

② P_1 need $(1, 2, 2) < (3, 3, 2) \rightarrow$ can run

after P_1 finishes, available = $(3+1, 3+0, 2+0)$
 $= 4, 3, 2$

③ P_2 need $(6, 0, 0) > (4, 3, 2) \rightarrow$ cannot

④ P_3 need $(0, 1, 1) < (4, 3, 2) \rightarrow$ yes

P_3 finishes, available = $(4+0, 3+1, 2+1)$

$= (4, 4, 3)$

similarly

new allocation = $(0, 1, 0, 0)$

$= (0, 3, 0)$

$P_4 \rightarrow P_0 \rightarrow P_1 \rightarrow P_2 \rightarrow P_3$

③. New request from P_0 (0, 2, 0)

→ request = (0, 2, 0)

→ ^{current} need (P_0) = (7, 4, 3) so request (0, 2, 0) $\leq$ Need ^{current}

→ Available = (3, 3, 2), so request (0, 2, 0) $\leq$ available

The request is granted immediately.

so, after allocating we get,

new allocation ~~(0, 0, 0)~~ (0, 2, 0) $\rightarrow$ (0, 3, 0)

^{current} new need (7, 4-2, 3) = (7, 2, 3).

new available (3, 3-2, 2-0) = (3, 1, 2)

process	max need	Allocated resource	current resource	Available
	A B C	A B C	A B C	A B C
P_0	7 5 3	0 3 0	7 2 3	3 1 2
P_1	3 2 2	2 0 0	1 2 2	5 2 3
P_2	9 0 2	3 0 2	6 0 0	7 2 3
P_3	2 2 2	2 1 1	0 1 1	10 2 5
P_4	4 3 3	0 0 2	4 3 1	10 5 5
		7 4 5		10 5 7

→ with variable = (3, 1, 2)

① P_1 need (1, 2, 2) → ~~can't~~ No

② ~~P2~~ P_3 need (0, 1, 1) → yes

variable = (3, 1, 2) + (0, 1, 1)

= 3, 2, 3

~~safe~~ safe seq: $P_3 \rightarrow P_1 \rightarrow P_2 \rightarrow P_0 \rightarrow P_4$

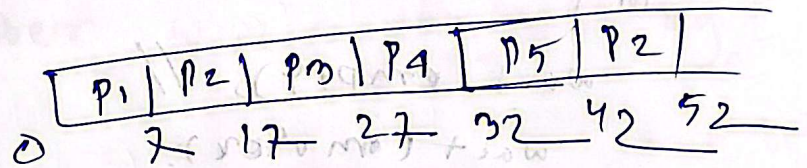
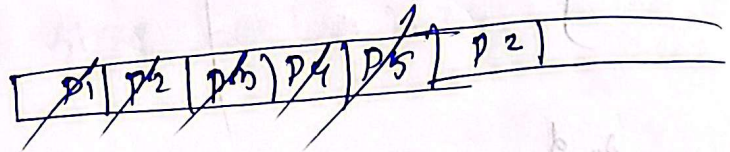
Because, such sequence exists the system is in safe state.

All process can be finished after the tentative allocation. So, the result (0, 2, 0) from P_0 can be granted.

Burst time

$P_1 \rightarrow 70$
 $P_2 \rightarrow 20$
 $P_3 \rightarrow 10$
 $P_4 \rightarrow 5$
 $P_5 \rightarrow 10$

Quantum = 10



Critical section: A critical section is the part of a process where ~~shared~~ shared resource

(variable, file, device) are accessed or modified and which must not be

executed by more than one process or

thread at the same time.

Thread of a process is a single
 unit of execution. It is a part of
 the process which can execute
 independently. It is a part of
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